

The Structure of Scientific Revolutions

and by students of motion in the Middle Ages, of physical optics in the late seventeenth century, and of historical geology in the early nineteenth. They had, that is, achieved a paradigm that proved able to guide the whole group's research. Except with the advantage of hindsight, it is hard to find another criterion that so clearly proclaims a field a science.

III. The Nature of Normal Science

What then is the nature of the more professional and esoteric research that a group's reception of a single paradigm permits? If the paradigm represents work that has been done once and for all, what further problems does it leave the united group to resolve? Those questions will seem even more urgent if we now note one respect in which the terms used so far may be misleading. In its established usage, a paradigm is an accepted model or pattern, and that aspect of its meaning has enabled me, lacking a better word, to appropriate 'paradigm' here. But it will shortly be clear that the sense of 'model' and 'pattern' that permits the appropriation is not quite the one usual in defining 'paradigm.' In grammar, for example, '*amo, amas, amat*' is a paradigm because it displays the pattern to be used in conjugating a large number of other Latin verbs, e.g., in producing '*laudo, laudas, laudat.*' In this standard application, the paradigm functions by permitting the replication of examples any one of which could in principle serve to replace it. In a science, on the other hand, a paradigm is rarely an object for replication. Instead, like an accepted judicial decision in the common law, it is an object for further articulation and specification under new or more stringent conditions.

To see how this can be so, we must recognize how very limited in both scope and precision a paradigm can be at the time of its first appearance. Paradigms gain their status because they are more successful than their competitors in solving a few problems that the group of practitioners has come to recognize as acute. To be more successful is not, however, to be either completely successful with a single problem or notably successful with any large number. The success of a paradigm—whether Aristotle's analysis of motion, Ptolemy's computations of planetary position, Lavoisier's application of the balance, or Maxwell's mathematization of the electromagnetic field—is at the start largely a promise of success discoverable in selected and

still incomplete examples. Normal science consists in the actualization of that promise, an actualization achieved by extending the knowledge of those facts that the paradigm displays as particularly revealing, by increasing the extent of the match between those facts and the paradigm's predictions, and by further articulation of the paradigm itself.

Few people who are not actually practitioners of a mature science realize how much mop-up work of this sort a paradigm leaves to be done or quite how fascinating such work can prove in the execution. And these points need to be understood. Mopping-up operations are what engage most scientists throughout their careers. They constitute what I am here calling normal science. Closely examined, whether historically or in the contemporary laboratory, that enterprise seems an attempt to force nature into the preformed and relatively inflexible box that the paradigm supplies. No part of the aim of normal science is to call forth new sorts of phenomena; indeed those that will not fit the box are often not seen at all. Nor do scientists normally aim to invent new theories, and they are often intolerant of those invented by others.¹ Instead, normal-scientific research is directed to the articulation of those phenomena and theories that the paradigm already supplies.

Perhaps these are defects. The areas investigated by normal science are, of course, minuscule; the enterprise now under discussion has drastically restricted vision. But those restrictions, born from confidence in a paradigm, turn out to be essential to the development of science. By focusing attention upon a small range of relatively esoteric problems, the paradigm forces scientists to investigate some part of nature in a detail and depth that would otherwise be unimaginable. And normal science possesses a built-in mechanism that ensures the relaxation of the restrictions that bound research whenever the paradigm from which they derive ceases to function effectively. At that point scientists begin to behave differently, and the nature of their research problems changes. In the interim, however, during the

¹ Bernard Barber, "Resistance by Scientists to Scientific Discovery," *Science*, CXXXIV (1961), 596-602.

period when the paradigm is successful, the profession will have solved problems that its members could scarcely have imagined and would never have undertaken without commitment to the paradigm. And at least part of that achievement always proves to be permanent.

To display more clearly what is meant by normal or paradigm-based research, let me now attempt to classify and illustrate the problems of which normal science principally consists. For convenience I postpone theoretical activity and begin with fact-gathering, that is, with the experiments and observations described in the technical journals through which scientists inform their professional colleagues of the results of their continuing research. On what aspects of nature do scientists ordinarily report? What determines their choice? And, since most scientific observation consumes much time, equipment, and money, what motivates the scientist to pursue that choice to a conclusion?

There are, I think, only three normal foci for factual scientific investigation, and they are neither always nor permanently distinct. First is that class of facts that the paradigm has shown to be particularly revealing of the nature of things. By employing them in solving problems, the paradigm has made them worth determining both with more precision and in a larger variety of situations. At one time or another, these significant factual determinations have included: in astronomy—stellar position and magnitude, the periods of eclipsing binaries and of planets; in physics—the specific gravities and compressibilities of materials, wave lengths and spectral intensities, electrical conductivities and contact potentials; and in chemistry—composition and combining weights, boiling points and acidity of solutions, structural formulas and optical activities. Attempts to increase the accuracy and scope with which facts like these are known occupy a significant fraction of the literature of experimental and observational science. Again and again complex special apparatus has been designed for such purposes, and the invention, construction, and deployment of that apparatus have demanded first-rate talent, much time, and considerable financial

backing. Synchrotrons and radiotelescopes are only the most recent examples of the lengths to which research workers will go if a paradigm assures them that the facts they seek are important. From Tycho Brahe to E. O. Lawrence, some scientists have acquired great reputations, not from any novelty of their discoveries, but from the precision, reliability, and scope of the methods they developed for the redetermination of a previously known sort of fact.

2 A second usual but smaller class of factual determinations is directed to those facts that, though often without much intrinsic interest, can be compared directly with predictions from the paradigm theory. As we shall see shortly, when I turn from the experimental to the theoretical problems of normal science, there are seldom many areas in which a scientific theory, particularly if it is cast in a predominantly mathematical form, can be directly compared with nature. No more than three such areas are even yet accessible to Einstein's general theory of relativity.² Furthermore, even in those areas where application is possible, it often demands theoretical and instrumental approximations that severely limit the agreement to be expected. Improving that agreement or finding new areas in which agreement can be demonstrated at all presents a constant challenge to the skill and imagination of the experimentalist and observer. Special telescopes to demonstrate the Copernican prediction of annual parallax; Atwood's machine, first invented almost a century after the *Principia*, to give the first unequivocal demonstration of Newton's second law; Foucault's apparatus to show that the speed of light is greater in air than in water; or the gigantic scintillation counter designed to demonstrate the existence of

² The only long-standing check point still generally recognized is the precession of Mercury's perihelion. The red shift in the spectrum of light from distant stars can be derived from considerations more elementary than general relativity, and the same may be possible for the bending of light around the sun, a point now in some dispute. In any case, measurements of the latter phenomenon remain equivocal. One additional check point may have been established very recently: the gravitational shift of Mossbauer radiation. Perhaps there will soon be others in this now active but long dormant field. For an up-to-date capsule account of the problem, see L. I. Schiff, "A Report on the NASA Conference on Experimental Tests of Theories of Relativity," *Physics Today*, XIV (1961), 42-48.

the neutrino—these pieces of special apparatus and many others like them illustrate the immense effort and ingenuity that have been required to bring nature and theory into closer and closer agreement.³ That attempt to demonstrate agreement is a second type of normal experimental work, and it is even more obviously dependent than the first upon a paradigm. The existence of the paradigm sets the problem to be solved; often the paradigm theory is implicated directly in the design of apparatus able to solve the problem. Without the *Principia*, for example, measurements made with the Atwood machine would have meant nothing at all.

A third class of experiments and observations exhausts, I think, the fact-gathering activities of normal science. It consists of empirical work undertaken to articulate the paradigm theory, resolving some of its residual ambiguities and permitting the solution of problems to which it had previously only drawn attention. This class proves to be the most important of all, and its description demands its subdivision. In the more mathematical sciences, some of the experiments aimed at articulation are directed to the determination of physical constants. Newton's work, for example, indicated that the force between two unit masses at unit distance would be the same for all types of matter at all positions in the universe. But his own problems could be solved without even estimating the size of this attraction, the universal gravitational constant; and no one else devised apparatus able to determine it for a century after the *Principia* appeared. Nor was Cavendish's famous determination in the 1790's the last. Because of its central position in physical theory, improved values of the gravitational constant have been the object of repeated efforts ever since by a number of outstanding

³ For two of the parallax telescopes, see Abraham Wolf, *A History of Science, Technology, and Philosophy in the Eighteenth Century* (2d ed.; London, 1952), pp. 103-5. For the Atwood machine, see N. R. Hanson, *Patterns of Discovery* (Cambridge, 1958), pp. 100-102, 207-8. For the last two pieces of special apparatus, see M. L. Foucault, "Méthode générale pour mesurer la vitesse de la lumière dans l'air et les milieux transparents. Vitesses relatives de la lumière dans l'air et dans l'eau . . ." *Comptes rendus . . . de l'Académie des sciences*, XXX (1850), 551-60; and C. L. Cowan, Jr., et al., "Detection of the Free Neutrino: A Confirmation," *Science*, CXXIV (1956), 103-4.

experimentalists.⁴ Other examples of the same sort of continuing work would include determinations of the astronomical unit, Avogadro's number, Joule's coefficient, the electronic charge, and so on. Few of these elaborate efforts would have been conceived and none would have been carried out without a paradigm theory to define the problem and to guarantee the existence of a stable solution.

Efforts to articulate a paradigm are not, however, restricted to the determination of universal constants. They may, for example, also aim at quantitative laws: Boyle's Law relating gas pressure to volume, Coulomb's Law of electrical attraction, and Joule's formula relating heat generated to electrical resistance and current are all in this category. Perhaps it is not apparent that a paradigm is prerequisite to the discovery of laws like these. We often hear that they are found by examining measurements undertaken for their own sake and without theoretical commitment. But history offers no support for so excessively Baconian a method. Boyle's experiments were not conceivable (and if conceived would have received another interpretation or none at all) until air was recognized as an elastic fluid to which all the elaborate concepts of hydrostatics could be applied.⁵ Coulomb's success depended upon his constructing special apparatus to measure the force between point charges. (Those who had previously measured electrical forces using ordinary pan balances, etc., had found no consistent or simple regularity at all.) But that design, in turn, depended upon the previous recognition that every particle of electric fluid acts upon every other at a distance. It was for the force between such particles—the only force which might safely be assumed

⁴ J. H. P[oynting] reviews some two dozen measurements of the gravitational constant between 1741 and 1901 in "Gravitation Constant and Mean Density of the Earth," *Encyclopaedia Britannica* (11th ed.; Cambridge, 1910-11), XII, 385-89.

⁵ For the full transplantation of hydrostatic concepts into pneumatics, see *The Physical Treatises of Pascal*, trans. I. H. B. Spiers and A. G. H. Spiers, with an introduction and notes by F. Barry (New York, 1937). Torricelli's original introduction of the parallelism ("We live submerged at the bottom of an ocean of the element air") occurs on p. 164. Its rapid development is displayed by the two main treatises.

a simple function of distance—that Coulomb was looking.⁶ Joule's experiments could also be used to illustrate how quantitative laws emerge through paradigm articulation. In fact, so general and close is the relation between qualitative paradigm and quantitative law that, since Galileo, such laws have often been correctly guessed with the aid of a paradigm years before apparatus could be designed for their experimental determination.⁷

Finally, there is a third sort of experiment which aims to articulate a paradigm. More than the others this one can resemble exploration, and it is particularly prevalent in those periods and sciences that deal more with the qualitative than with the quantitative aspects of nature's regularity. Often a paradigm developed for one set of phenomena is ambiguous in its application to other closely related ones. Then experiments are necessary to choose among the alternative ways of applying the paradigm to the new area of interest. For example, the paradigm applications of the caloric theory were to heating and cooling by mixtures and by change of state. But heat could be released or absorbed in many other ways—e.g., by chemical combination, by friction, and by compression or absorption of a gas—and to each of these other phenomena the theory could be applied in several ways. If the vacuum had a heat capacity, for example, heating by compression could be explained as the result of mixing gas with void. Or it might be due to a change in the specific heat of gases with changing pressure. And there were several other explanations besides. Many experiments were undertaken to elaborate these various possibilities and to distinguish between them; all these experiments arose from the caloric theory as paradigm, and all exploited it in the design of experiments and in the interpretation of results.⁸ Once the phe-

⁶ Duane Roller and Duane H. D. Roller, *The Development of the Concept of Electric Charge: Electricity from the Greeks to Coulomb* ("Harvard Case Histories in Experimental Science," Case 8; Cambridge, Mass., 1954), pp. 66-80.

⁷ For examples, see T. S. Kuhn, "The Function of Measurement in Modern Physical Science," *Isis*, LII (1961), 161-93.

⁸ T. S. Kuhn, "The Caloric Theory of Adiabatic Compression," *Isis*, XLIX (1958), 132-40.

nomenon of heating by compression had been established, all further experiments in the area were paradigm-dependent in this way. Given the phenomenon, how else could an experiment to elucidate it have been chosen?

Turn now to the theoretical problems of normal science, which fall into very nearly the same classes as the experimental and observational. A part of normal theoretical work, though only a small part, consists simply in the use of existing theory to predict factual information of intrinsic value. The manufacture of astronomical ephemerides, the computation of lens characteristics, and the production of radio propagation curves are examples of problems of this sort. Scientists, however, generally regard them as hack work to be relegated to engineers or technicians. At no time do very many of them appear in significant scientific journals. But these journals do contain a great many theoretical discussions of problems that, to the non-scientist, must seem almost identical. These are the manipulations of theory undertaken, not because the predictions in which they result are intrinsically valuable, but because they can be confronted directly with experiment. Their purpose is to display a new application of the paradigm or to increase the precision of an application that has already been made.

The need for work of this sort arises from the immense difficulties often encountered in developing points of contact between a theory and nature. These difficulties can be briefly illustrated by an examination of the history of dynamics after Newton. By the early eighteenth century those scientists who found a paradigm in the *Principia* took the generality of its conclusions for granted, and they had every reason to do so. No other work known to the history of science has simultaneously permitted so large an increase in both the scope and precision of research. For the heavens Newton had derived Kepler's Laws of planetary motion and also explained certain of the observed respects in which the moon failed to obey them. For the earth he had derived the results of some scattered observations on pendulums and the tides. With the aid of additional but *ad hoc* assumptions, he had also been able to derive Boyle's Law

and an important formula for the speed of sound in air. Given the state of science at the time, the success of the demonstrations was extremely impressive. Yet given the presumptive generality of Newton's Laws, the number of these applications was not great, and Newton developed almost no others. Furthermore, compared with what any graduate student of physics can achieve with those same laws today, Newton's few applications were not even developed with precision. Finally, the *Principia* had been designed for application chiefly to problems of celestial mechanics. How to adapt it for terrestrial applications, particularly for those of motion under constraint, was by no means clear. Terrestrial problems were, in any case, already being attacked with great success by a quite different set of techniques developed originally by Galileo and Huyghens and extended on the Continent during the eighteenth century by the Bernoullis, d'Alembert, and many others. Presumably their techniques and those of the *Principia* could be shown to be special cases of a more general formulation, but for some time no one saw quite how.⁹

Restrict attention for the moment to the problem of precision. We have already illustrated its empirical aspect. Special equipment—like Cavendish's apparatus, the Atwood machine, or improved telescopes—was required in order to provide the special data that the concrete applications of Newton's paradigm demanded. Similar difficulties in obtaining agreement existed on the side of theory. In applying his laws to pendulums, for example, Newton was forced to treat the bob as a mass point in order to provide a unique definition of pendulum length. Most of his theorems, the few exceptions being hypothetical and preliminary, also ignored the effect of air resistance. These were sound physical approximations. Nevertheless, as approximations they restricted the agreement to be expected

⁹ C. Truesdell, "A Program toward Rediscovering the Rational Mechanics of the Age of Reason," *Archive for History of the Exact Sciences*, I (1960), 3-36, and "Reactions of Late Baroque Mechanics to Success, Conjecture, Error, and Failure in Newton's *Principia*," *Texas Quarterly*, X (1967), 281-97. T. L. Hankins, "The Reception of Newton's Second Law of Motion in the Eighteenth Century," *Archives internationales d'histoire des sciences*, XX (1967), 42-65.

between Newton's predictions and actual experiments. The same difficulties appear even more clearly in the application of Newton's theory to the heavens. Simple quantitative telescopic observations indicate that the planets do not quite obey Kepler's laws, and Newton's theory indicates that they should not. To derive those laws, Newton had been forced to neglect all gravitational attraction except that between individual planets and the sun. Since the planets also attract each other, only approximate agreement between the applied theory and telescopic observation could be expected.¹⁰

The agreement obtained was, of course, more than satisfactory to those who obtained it. Excepting for some terrestrial problems, no other theory could do nearly so well. None of those who questioned the validity of Newton's work did so because of its limited agreement with experiment and observation. Nevertheless, these limitations of agreement left many fascinating theoretical problems for Newton's successors. Theoretical techniques were, for example, required for treating the motions of more than two simultaneously attracting bodies and for investigating the stability of perturbed orbits. Problems like these occupied many of Europe's best mathematicians during the eighteenth and early nineteenth century. Euler, Lagrange, Laplace, and Gauss all did some of their most brilliant work on problems aimed to improve the match between Newton's paradigm and observation of the heavens. Many of these figures worked simultaneously to develop the mathematics required for applications that neither Newton nor the contemporary Continental school of mechanics had even attempted. They produced, for example, an immense literature and some very powerful mathematical techniques for hydrodynamics and for the problem of vibrating strings. These problems of application account for what is probably the most brilliant and consuming scientific work of the eighteenth century. Other examples could be discovered by an examination of the post-paradigm period in the development of thermodynamics, the wave theory of light, electromagnetic the-

¹⁰ Wolf, *op. cit.*, pp. 75-81, 96-101; and William Whewell, *History of the Inductive Sciences* (rev. ed.; London, 1847), II, 213-71.

ory, or any other branch of science whose fundamental laws are fully quantitative. At least in the more mathematical sciences, most theoretical work is of this sort.

But it is not all of this sort. Even in the mathematical sciences there are also theoretical problems of paradigm articulation; and during periods when scientific development is predominantly qualitative, these problems dominate. Some of the problems, in both the more quantitative and more qualitative sciences, aim simply at clarification by reformulation. The *Principia*, for example, did not always prove an easy work to apply, partly because it retained some of the clumsiness inevitable in a first venture and partly because so much of its meaning was only implicit in its applications. For many terrestrial applications, in any case, an apparently unrelated set of Continental techniques seemed vastly more powerful. Therefore, from Euler and Lagrange in the eighteenth century to Hamilton, Jacobi, and Hertz in the nineteenth, many of Europe's most brilliant mathematical physicists repeatedly endeavored to reformulate mechanical theory in an equivalent but logically and aesthetically more satisfying form. They wished, that is, to exhibit the explicit and implicit lessons of the *Principia* and of Continental mechanics in a logically more coherent version, one that would be at once more uniform and less equivocal in its application to the newly elaborated problems of mechanics.¹¹

Similar reformulations of a paradigm have occurred repeatedly in all of the sciences, but most of them have produced more substantial changes in the paradigm than the reformulations of the *Principia* cited above. Such changes result from the empirical work previously described as aimed at paradigm articulation. Indeed, to classify that sort of work as empirical was arbitrary. More than any other sort of normal research, the problems of paradigm articulation are simultaneously theoretical and experimental; the examples given previously will serve equally well here. Before he could construct his equipment and make measurements with it, Coulomb had to employ electrical theory to determine how his equipment should be built. The

¹¹ René Dugas, *Histoire de la mécanique* (Neuchâtel, 1950), Books IV-V.

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consequence of his measurements **was** a refinement **in** that theory. Or again, the men who designed the experiments that were to distinguish between the various theories of heating by compression were generally the same men who had made up the versions being compared. They were working both with fact and with theory, and their work produced not simply new information but a more precise paradigm, obtained by the elimination of ambiguities that the original from which they worked had retained. In many sciences, most normal work is of this sort.

These three classes of problems—determination of significant fact, matching of facts with theory, and articulation of theory—exhaust, I think, the literature of normal science, both empirical and theoretical. They do not, of course, quite exhaust the entire literature of science. There are also extraordinary problems, and it may well be their resolution that makes the scientific enterprise as a whole so particularly worthwhile. But extraordinary problems are not to be had for the asking. They emerge only on special occasions prepared by the advance of normal research. Inevitably, therefore, the overwhelming majority of the problems undertaken by even the very best scientists usually fall into one of the three categories outlined above. Work under the paradigm can be conducted in no other way, and to desert the paradigm is to cease practicing the science it defines. We shall shortly discover that such desertions do occur. They are the pivots about which scientific revolutions turn. But before **begin-**ning the study of such revolutions, we require a more panoramic view of the normal-scientific pursuits that prepare the way.

IV. Normal Science as Puzzle-solving

Perhaps the most striking feature of the normal research problems we have just encountered is how little they aim to produce major novelties, conceptual or phenomenal. Sometimes, as in a wave-length measurement, everything but the most esoteric detail of the result is known in advance, and the typical latitude of expectation is only somewhat wider. Coulomb's measurements need not, perhaps, have fitted an inverse square law; the men who worked on heating by compression were often prepared for any one of several results. Yet even in cases like these the range of anticipated, and thus of assimilable, results is always small compared with the range that imagination can conceive. And the project whose outcome does not fall in that narrower range is usually just a research failure, one which reflects not on nature but on the scientist.

In the eighteenth century, for example, little attention was paid to the experiments that measured electrical attraction with devices like the pan balance. Because they yielded neither consistent nor simple results, they could not be used to articulate the paradigm from which they derived. Therefore, they remained *mere* facts, unrelated and unrelatable to the continuing progress of electrical research. Only in retrospect, possessed of a subsequent paradigm, can we see what characteristics of electrical phenomena they display. Coulomb and his contemporaries, of course, also possessed this later paradigm or one that, when applied to the problem of attraction, yielded the same expectations. That is why Coulomb was able to design apparatus that gave a result assimilable by paradigm articulation. But it is also why that result surprised no one and why several of Coulomb's contemporaries had been able to predict it in advance. Even the project whose goal is paradigm articulation does not aim at the *unexpected* novelty.

But if the aim of normal science is not major substantive novelties—if failure to come **near** the anticipated result is usually

failure as a scientist—then why are these problems undertaken at all? Part of the answer has already been developed. To scientists, at least, the results gained in normal research are significant because they add to the scope and precision with which the paradigm can be applied. That answer, however, cannot account for the enthusiasm and devotion that scientists display for the problems of normal research. No one devotes years to, say, the development of a better spectrometer or the production of an improved solution to the problem of vibrating strings simply because of the importance of the information that will be obtained. The data to be gained by computing ephemerides or by further measurements with an existing instrument are often just as significant, but those activities are regularly spurned by scientists because they are so largely repetitions of procedures that have been carried through before. That rejection provides a clue to the fascination of the normal research problem. Though its outcome can be anticipated, often in detail so great that what remains to be known is itself uninteresting, the way to achieve that outcome remains very much in doubt. Bringing a normal research problem to a conclusion is achieving the anticipated in a new way, and it requires the solution of all sorts of complex instrumental, conceptual, and mathematical puzzles. The man who succeeds proves himself an expert puzzle-solver, and the challenge of the puzzle is an important part of what usually drives him on.

The terms 'puzzle' and 'puzzle-solver' highlight several of the themes that have become increasingly prominent in the preceding pages. Puzzles are, in the entirely standard meaning here employed, that special category of problems that can serve to test ingenuity or skill in solution. Dictionary illustrations are 'jigsaw puzzle' and 'crossword puzzle,' and it is the characteristics that these share with the problems of normal science that we now need to isolate. One of them has just been mentioned. It is no criterion of goodness in a puzzle that its outcome be intrinsically interesting or important. On the contrary, the really pressing problems, e.g., a cure for cancer or the design of a

lasting peace, are often not puzzles at all, largely because they may not have any solution. Consider the jigsaw puzzle whose pieces are selected at random from each of two different puzzle boxes. Since that problem is likely to defy (though it might not) even the most ingenious of men, it cannot serve as a test of skill in solution. In any usual sense it is not a puzzle at all. Though intrinsic value is no criterion for a puzzle, the assured existence of a solution is.

We have already seen, however, that one of the things a scientific community acquires with a paradigm is a criterion for choosing problems that, while the paradigm is taken for granted, can be assumed to have solutions. To a great extent these are the only problems that the community will admit as scientific or encourage its members to undertake. Other problems, including many that had previously been standard, are rejected as metaphysical, as the concern of another discipline, or sometimes as just too problematic to be worth the time. A paradigm can, for that matter, even insulate the community from those socially important problems that are not reducible to the puzzle form, because they cannot be stated in terms of the conceptual and instrumental tools the paradigm supplies. Such problems can be a distraction, a lesson brilliantly illustrated by several facets of seventeenth-century Baconianism and by some of the contemporary social sciences. One of the reasons why normal science seems to progress so rapidly is that its practitioners concentrate on problems that only their own lack of ingenuity should keep them from solving.

If, however, the problems of normal science are puzzles in this sense, we need no longer ask why scientists attack them with such passion and devotion. A man may be attracted to science for all sorts of reasons. Among them are the desire to be useful, the excitement of exploring new territory, the hope of finding order, and the drive to test established knowledge. These motives and others besides also help to determine the particular problems that will later engage him. Furthermore, though the result is occasional frustration, there is good reason

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why motives like these should first attract him and then lead him on.¹ The scientific enterprise as a whole does from time to time prove useful, open up new territory, display order, and test long-accepted belief. Nevertheless, *the individual* engaged on a normal research problem is almost never doing any one of these things. Once engaged, his motivation is of a rather different sort. What then challenges him is the conviction that, if only he is skilful enough, he will succeed in solving a puzzle that no one before has solved or solved so well. Many of the greatest scientific minds have devoted all of their professional attention to demanding puzzles of this sort. On most occasions any particular field of specialization offers nothing else to do, a fact that makes it no less fascinating to the proper sort of addict.

Turn now to another, more difficult, and more revealing aspect of the parallelism between puzzles and the problems of normal science. If it is to classify as a puzzle, a problem must be characterized by more than an assured solution. There must also be rules that limit both the nature of acceptable solutions and the steps by which they are to be obtained. To solve a jigsaw puzzle is not, for example, merely "to make a picture." Either a child or a contemporary artist could do that by scattering selected pieces, as abstract shapes, upon some neutral ground. The picture thus produced might be far better, and would certainly be more original, than the one from which the puzzle had been made. Nevertheless, such a picture would not be a solution. To achieve that all the pieces must be used, their plain sides must be turned down, and they must be interlocked without forcing until no holes remain. Those are among the rules that govern jigsaw-puzzle solutions. Similar restrictions upon the admissible solutions of crossword puzzles, riddles, chess problems, and so on, are readily discovered.

If we can accept a considerably broadened use of the term

¹ The frustrations induced by the conflict between the individual's role and the over-all pattern of scientific development can, however, occasionally be quite serious. On this subject, see Lawrence S. Kubie, "Some Unsolved Problems of the Scientific Career," *American Scientist*, XLI (1953), 596-613; and XLII (1954), 104-12.

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'rule'—one that will occasionally equate it with 'established viewpoint' or with 'preconception'—then the problems accessible within a given research tradition display something much like this set of puzzle characteristics. The man who builds an instrument to determine optical wave lengths must not be satisfied with a piece of equipment that merely attributes particular numbers to particular spectral lines. He is not just an explorer or measurer. On the contrary, he must show, by analyzing his apparatus in terms of the established body of optical theory, that the numbers his instrument produces are the ones that enter theory as wave lengths. If some residual vagueness in the theory or some unanalyzed component of his apparatus prevents his completing that demonstration, his colleagues may well conclude that he has measured nothing at all. For example, the electron-scattering maxima that were later diagnosed as indices of electron wave length had no apparent significance when first observed and recorded. Before they became measures of anything, they had to be related to a theory that predicted the wave-like behavior of matter in motion. And even after that relation was pointed out, the apparatus had to be redesigned so that the experimental results might be correlated unequivocally with theory.² Until those conditions had been satisfied, no problem had been solved.

Similar sorts of restrictions bound the admissible solutions to theoretical problems. Throughout the eighteenth century those scientists who tried to derive the observed motion of the moon from Newton's laws of motion and gravitation consistently failed to do so. As a result, some of them suggested replacing the inverse square law with a law that deviated from it at small distances. To do that, however, would have been to change the paradigm, to define a new puzzle, and not to solve the old one. In the event, scientists preserved the rules until, in 1750, one of them discovered how they could successfully be applied.³

² For a brief account of the evolution of these experiments, see page 4 of C. J. Davison's lecture in *Les prix Nobel en 1937* (Stockholm, 1938).

³ W. Whewell, *History of the Inductive Sciences* (rev. ed.; London, 1847), II, 101-5, 220-22.

Only a change in the rules of the game could have provided an alternative.

The study of normal-scientific traditions discloses many additional rules, and these provide much information about the commitments that scientists derive from their paradigms. What can we say are the main categories into which these rules fall?⁴ The most obvious and probably the most binding is exemplified by the sorts of generalizations we have just noted. These are explicit statements of scientific law and about scientific concepts and theories. While they continue to be honored, such statements help to set puzzles and to limit acceptable solutions. Newton's Laws, for example, performed those functions during the eighteenth and nineteenth centuries. As long as they did so, quantity-of-matter was a fundamental ontological category for physical scientists, and the forces that act between bits of matter were a dominant topic for research.⁵ In chemistry the laws of fixed and definite proportions had, for a long time, an exactly similar force—setting the problem of atomic weights, bounding the admissible results of chemical analyses, and informing chemists what atoms and molecules, compounds and mixtures were.⁶ Maxwell's equations and the laws of statistical thermodynamics have the same hold and function today.

Rules like these are, however, neither the only nor even the most interesting variety displayed by historical study. At a level lower or more concrete than that of laws and theories, there is, for example, a multitude of commitments to preferred types of instrumentation and to the ways in which accepted instruments may legitimately be employed. Changing attitudes toward the role of fire in chemical analyses played a vital part in the de-

⁴ I owe this question to W. O. Hagstrom, whose work in the sociology of science sometimes overlaps my own.

⁵ For these aspects of Newtonianism, see I. B. Cohen, *Franklin and Newton: An Inquiry into Speculative Newtonian Experimental Science and Franklin's Work in Electricity as an Example Thereof* (Philadelphia, 1956), chap. vii, esp. pp. 255-57, 275-77.

⁶ This example is discussed at length near the end of Section X.

velopment of chemistry in the seventeenth century.⁷ Helmholtz, in the nineteenth, encountered strong resistance from physiologists to the notion that physical experimentation could illuminate their field.⁸ And in this century the curious history of chemical chromatography again illustrates the endurance of instrumental commitments that, as much as laws and theory, provide scientists with rules of the game.⁹ When we analyze the discovery of X-rays, we shall find reasons for commitments of this sort.

Less local and temporary, though still not unchanging characteristics of science, are the higher level, quasi-metaphysical commitments that historical study so regularly displays. After about 1630, for example, and particularly after the appearance of Descartes's immensely influential scientific writings, most physical scientists assumed that the universe was composed of microscopic corpuscles and that all natural phenomena could be explained in terms of corpuscular shape, size, motion, and interaction. That nest of commitments proved to be both metaphysical and methodological. As metaphysical, it told scientists what sorts of entities the universe did and did not contain: there was only shaped matter in motion. As methodological, it told them what ultimate laws and fundamental explanations must be like: laws must specify corpuscular motion and interaction, and explanation must reduce any given natural phenomenon to corpuscular action under these laws. More important still, the corpuscular conception of the universe told scientists what many of their research problems should be. For example, a chemist who, like Boyle, embraced the new philosophy gave particular attention to reactions that could be viewed as transmutations. More clearly than any others these displayed the process of corpuscular rearrangement that must underlie all

⁷ H. Metzger, *Les doctrines chimiques en France du début du XVII^e siècle à la fin du XVIII^e siècle* (Paris, 1923), pp. 359-61; Marie Boas, *Robert Boyle and Seventeenth-Century Chemistry* (Cambridge, 1958), pp. 112-15.

⁸ Leo Königsberger, *Hermann von Helmholtz*, trans. Francis A. Welby (Oxford, 1906), pp. 65-66.

⁹ James E. Meinhard, "Chromatography: A Perspective," *Science*, CX (1949), 387-92.

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chemical change.¹⁰ Similar effects of corpuscularism can be observed in the study of mechanics, optics, and heat.

Finally, at a still higher level, there is another set of commitments without which no man is a scientist. The scientist must, for example, be concerned to understand the world and to extend the precision and scope with which it has been ordered. That commitment must, in turn, lead him to scrutinize, either for himself or through colleagues, some aspect of nature in great empirical detail. And, if that scrutiny displays pockets of apparent disorder, then these must challenge him to a new refinement of his observational techniques or to a further articulation of his theories. Undoubtedly there are still other rules like these, ones which have held for scientists at all times.

The existence of this strong network of commitments—conceptual, theoretical, instrumental, and methodological—is a principal source of the metaphor that relates normal science to puzzle-solving. Because it provides rules that tell the practitioner of a mature specialty what both the world and his science are like, he can concentrate with assurance upon the esoteric problems that these rules and existing knowledge define for him. What then personally challenges him is how to bring the residual puzzle to a solution. In these and other respects a discussion of puzzles and of rules illuminates the nature of normal scientific practice. Yet, in another way, that illumination may be significantly misleading. Though there obviously are rules to which all the practitioners of a scientific specialty adhere at a given time, those rules may not by themselves specify all that the practice of those specialists has in common. Normal science is a highly determined activity, but it need not be entirely determined by rules. That is why, at the start of this essay, I introduced shared paradigms rather than shared rules, assumptions, and points of view as the source of coherence for normal research traditions. Rules, I suggest, derive from paradigms, but paradigms can guide research even in the absence of rules.

¹⁰ For corpuscularism in general, see Marie Boas, "The Establishment of the Mechanical Philosophy," *Osiris*, X (1952), 412-541. For its effects on Boyle's chemistry, see T. S. Kuhn, "Robert Boyle and Structural Chemistry in the Seventeenth Century," *Isis*, XLIII (1952), 12-36.

V. The Priority of Paradigms

To discover the relation between rules, paradigms, and normal science, consider first how the historian isolates the particular loci of commitment that have just been described as accepted rules. Close historical investigation of a given specialty at a given time discloses a set of recurrent and quasi-standard illustrations of various theories in their conceptual, observational, and instrumental applications. These are the community's paradigms, revealed in its textbooks, lectures, and laboratory exercises. By studying them and by practicing with them, the members of the corresponding community learn their trade. The historian, of course, will discover in addition a penumbral area occupied by achievements whose status is still in doubt, but the core of solved problems and techniques will usually be clear. Despite occasional ambiguities, the paradigms of a mature scientific community can be determined with relative ease.

The determination of shared paradigms is not, however, the determination of shared rules. That demands a second step and one of a somewhat different kind. When undertaking it, the historian must compare the community's paradigms with each other and with its current research reports. In doing so, his object is to discover what isolable elements, explicit or implicit the members of that community may have *abstracted* from their more global paradigms and deployed as rules in their research. Anyone who has attempted to describe or analyze the evolution of a particular scientific tradition will necessarily have sought accepted principles and rules of this sort. Almost certainly, as the preceding section indicates, he will have met with at least partial success. But, if his experience has been at all like my own, he will have found the search for rules both more difficult and less satisfying than the search for paradigms. Some of the generalizations he employs to describe the community's shared beliefs will present no problems. Others, however, in